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UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY

STEVEN BRODY, CHAIM HIRSCHFELD,  
and SUZANNE GRUNSTEIN, on behalf of  
themselves and all others similarly situated,

Plaintiffs,

vs.

MERCK & CO., INC., f/k/a  
SCHERING-PLOUGH CORPORATION,  
MSD CONSUMER CARE, INC., f/k/a  
SCHERING-PLOUGH HEALTHCARE  
PRODUCTS, INC., MERCK SHARP &  
DOHME CORP., AS SUCCESSOR IN  
INTEREST TO SCHERING  
CORPORATION, SCHERING-PLOUGH  
HEALTHCARE PRODUCTS SALES  
CORPORATION, AND SCHERING-  
PLOUGH HEALTHCARE PRODUCTS  
ADVERTISING CORPORATION,

Defendants.

Civil Action No.

**CLASS ACTION  
COMPLAINT**

**JURY TRIAL DEMANDED**

Plaintiffs Steven Brody, Chaim Hirschfeld, and Suzanne Grunstein (hereinafter, “Plaintiffs”), by their attorneys, Abraham, Fruchter & Twersky, LLP, allege the following upon information and belief, except for those allegations that pertain to Plaintiffs, which are based on Plaintiffs’ personal knowledge:

**NATURE OF THE ACTION**

1. Plaintiffs bring this action individually, and on behalf of all other persons who purchased certain Coppertone sunscreen products that were labeled and advertised to provide protection against the sun’s UVA and/or UVB rays (“Coppertone sunscreen products”). As alleged herein, Merck & Co., Inc., MSD Consumer Care, Inc., and Merck Sharp & Dohme Corp. (collectively, “Merck” or “Defendants”) engaged in, and continue to engage in, unconscionable business practices and deceptive acts in connection with the labeling, advertising, marketing and sale of their sunscreen products which have harmed Plaintiffs and the Class and which will continue to harm consumers unless the practices are stopped.

2. During the Class Period, Defendants engaged in a common plan and scheme through the use of misleading labeling and advertising claims on their Coppertone sunscreen products to induce consumers to purchase their products based on the purported benefits provided, including but not limited to the amount of UVA and/or UVB protection provided by these products.

3. As detailed below, through their deceptive and misleading product labels and advertising, Defendants have led consumers to believe that their sunscreen products provide complete protection against the sun’s harmful UVA and/or UVB rays.

4. Defendants’ labeling, for example, represent, among other things that their Coppertone products offer “protection from the sun’s harmful UVA and UVB rays.” Ultraviolet (UV) rays are part of the sun’s spectrum and consist of wavelengths that are shorter than visible

light. Ultraviolet rays are divided into UVA (320-400 nanometers), UVB (290-320 nm) and UVC (less than 290 nm).

5. In truth and in fact, however, as the Defendants knew or should have known, their Coppertone sunscreen products, at best, only protect the skin against harmful UVA rays that penetrate deep within the skin having shorter wavelengths. As a consequence of Defendants' deceptive practices, members of the Class have purchased Defendants' Coppertone sunscreen products under the false impression that they are receiving protection from all of the sun's harmful ultraviolet rays.

6. Defendants further deceptively advertised, marketed and represented that their Coppertone sunscreen products provided the same degree or level of protection against UVA and UVB rays when, as Defendants knew or should have known, that a) an SPF designation, as defined and discussed in the allegations set forth below, does not measure UVA protection; (b) there was no universally accepted method for measuring UVA protection; and (c) existing research indicates that the level of protection provided against UVA rays by Defendants' Coppertone sunscreen products, particularly those with high SPF designations, is significantly less than the protection provided against the burning effects of UVB rays.

7. Defendants have also deceptively advertised, marketed and represented that many of their Coppertone sunscreen products provide "waterproof" and/or "ultra sweatproof" protection against the sun's harmful ultraviolet rays when, as Defendants knew or should have known, that such protection was being measured against the burning effect of UVB rays, that the degree or level of UVA protection, to the extent provided, was significantly less than the protection provided against the burning effect of UVB rays, and that the protection provided by these products diminished, over a short period of time, after exposure to or immersion in water or

sweat and was, thus, not truly “waterproof” or “ultra sweatproof.”

8. Defendants have also deceptively advertised, marketed, and represented that many of their Coppertone sunscreen products are “sunblock lotions” when, as Defendants knew or should have known, that the level or degree of UVA protection, to the extent provided, was significantly less than the level of protection provided against the burning effect of UVB rays and that no protection was being provided against the longer UVA rays. Thus, these products did not block all or anywhere close to all of the sun’s harmful rays.

9. Defendants’ conduct alleged herein constitutes an unconscionable and deceptive commercial practice in violation of the New Jersey Consumer Fraud Act and a breach of express and implied warranties. Plaintiffs seek an order enjoining such practices, as well as monetary damages.

### **PARTIES**

10. Plaintiff Steven Brody (“Brody”) is a citizen of the State of New York. Brody purchased, *inter alia*, a Coppertone sunscreen product, specifically Coppertone Sport SPF 30 Sunblock Lotion (“Coppertone Sport”) in the State of New York during the Class Period. He purchased this Coppertone sunscreen product after viewing misleading statements and representations about the product which led him to believe that it provided complete protection against the sun’s UVA and/or UVB rays as claimed on Defendants’ labeling and advertising. Plaintiff purchased this Coppertone sunscreen product and paid a premium price for the product because he believed that the product provided the purported sunscreen benefits claimed in the labeling and advertising for this product.

11. Plaintiff Chaim Hirschfeld (“Hirschfeld”) is a citizen of the State of New York. Hirschfeld purchased, *inter alia*, a Coppertone sunscreen product, specifically Coppertone Sport

SPF 30 Sunblock Lotion (“Coppertone Sport”) in the State of New York during the Class Period. He purchased this Coppertone sunscreen product after viewing misleading statements and representations about the product which led him to believe that it provided complete protection against the sun’s UVA and/or UVB rays as claimed on Defendants’ labeling and advertising. Plaintiff purchased this Coppertone sunscreen product and paid a premium price for the product because he believed that the product provided the purported sunscreen benefits claimed in the labeling and advertising for this product.

12. Plaintiff Suzanne Grunstein is a citizen of the State of New Jersey. Grunstein purchased, *inter alia*, various Coppertone sunscreen products in the State of New Jersey during the Class Period. She purchased Coppertone sunscreen products after viewing misleading statements and representations about the product which led her to believe that the products provided complete protection against the sun’s UVA and/or UVB rays as claimed on Defendants’ labeling and advertising. Plaintiff purchased Coppertone sunscreen products and paid premium prices for the products because she believed that the products provided the purported sunscreen benefits claimed in the labeling and advertising for the products.

13. Defendant Merck & Co., Inc. (“Merck & Co.”) is a corporation organized and existing under the laws of the State of Delaware and has its principal place of business located at One Merck Drive, Whitehouse Station, New Jersey 08889. At all relevant times, Merck & Co., on its own and/or through its subsidiaries, invented, developed, manufactured, distributed, labeled, advertised, promoted, and marketed, among other things, Coppertone sunscreen products in the State of New Jersey for sale to Plaintiffs and members of the Class. Such activities included the creation, review and approval of labeling and advertising content for Coppertone sunscreen products, making decisions regarding media placement for Coppertone

advertising, and the dissemination of such content to Plaintiffs and members of the Class within the State of New Jersey and throughout the United States.

14. Defendant MSD Consumer Care Inc. (“MSD Consumer Care”) is a corporation organized and existing under the laws of the State of Delaware and has its principal place of business located at 3030 Jackson Avenue, Memphis, Tennessee 38151. MSD Consumer Care is a wholly owned subsidiary of Defendant Merck & Co. At all relevant times, MSD Consumer Care, on its own and/or through its parent, invented, developed, manufactured, distributed, labeled, advertised, promoted, and marketed, among other things, Coppertone sunscreen products for sale in the State of New Jersey and throughout the United States.

15. Merck Sharp & Dohme Corp. (“Merck Sharp & Dohme”) as successor in interest to Schering Corporation, Schering-Plough Healthcare Products Sales Corporation, and Schering-Plough Healthcare Products Advertising Corporation, is a corporation organized and existing under the laws of the State of New Jersey and has its principal place of business located at One Merck Drive, Whitehouse Station, NJ 08889. At all relevant times, Merck Sharp & Dohme, on its own and/or through its parent, invented, developed, manufactured, distributed, labeled, advertised, promoted, and marketed, among other things, Coppertone sunscreen products in the State of New Jersey. Such activities included the creation, review and approval of labeling and advertising content for Coppertone sunscreen products, making decisions regarding media placement for Coppertone advertising, and the dissemination of such content to Plaintiffs and members of the Class within the State of New Jersey and throughout the United States.

#### **JURISDICTION AND VENUE**

16. The Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1332(d) because this action is between citizens of different states, a class action has been pled in which the total

number of potential class members is in excess of 100, and the matter in controversy exceeds the sum or value of \$5,000,000 exclusive of interest and costs.

17. This Court has personal jurisdiction over Defendant because, *inter alia*, Defendant Merck & Co.'s and Defendant Merck Sharp & Dohme's corporate headquarters and principal places of business are located within this District.

18. Venue is proper pursuant to 28 U.S.C. §1391(a) because, *inter alia*, a substantial part of the events giving rise to the claims occurred in this District and Merck & Co.'s and Defendant Merck Sharp & Dohme's principal places of business are located within the District.

## **FACTUAL ALLEGATIONS**

### **I. Introduction**

19. Sunlight reaches the Earth in heat waves called infrared, or in invisible rays, called ultraviolet (UV) rays. Ultraviolet rays, in small amounts, act as a catalyst in the manufacture of Vitamin D in the body. Although some UV rays are necessary for our well-being, over-exposure to UV rays can cause premature wrinkling, skin cancer, and photokeratitis, or snowblindness. Research has shown that UV rays damage the DNA in skin cells, putting people at higher risk for skin cancer, and permanent eye damage. UV radiation can also have a damaging effect on the immune system and premature aging of the skin, giving it a wrinkled, leathery appearance.

20. Consumer sunscreen products, which are commonly referred to as sunscreens, purport to stop UVB and some UVA rays from reaching the skin. They may do this in one of two ways. First, a sunscreen may absorb ultraviolet radiation ("UVR"); that is, it forms a chemical barrier or layer on the skin. Second, a sunscreen may reflect or scatter part or all of the UV rays away from the skin; that is, it forms a physical blocker.

21. The SPF designation on sunscreen products indicates how much protection the

skin is afforded against sun burning, which is caused by UVB rays and possibly by some of the shorter length UVA rays. Sunscreens with higher SPFs filter out more UV rays that cause burning than those with lower SPFs. SPF15 sunscreen, for example, offers the skin 15 times its natural protection against sun burning. How long this will be depends on the time of day, time of year, amount of reflection, cloud cover and the user's skin type.

22. SPFs, however, do not measure the degree of protection, if any, afforded the skin against UVA rays that do not cause sunburn and the effect of these rays on the skin. UVA rays can cause damage to the DNA in skin cells, are now known to cause photo-aging and are likely involved in the more aggressive types of skin cancer.

23. The number of skin cancer cases has been rising dramatically over the years, due to increasing exposure to UV radiation from the sun. More than 1 million new skin cancer cases are likely to be diagnosed in the U.S. this year. In 1980, an American's lifetime risk for contracting melanoma, the most deadly form of skin cancer, was 1 in 250. Today, it is 1 in 55. During this same period of time, U.S. sales figures for sunscreens have jumped from \$18 million in 1972 to over \$800 million in 2011. Scientists have also found that melanoma, the most deadly and incurable form of skin cancer, is directly attributable to exposure to UVA radiation.

24. Several published studies, including one conducted in 1998 by Brookhaven National Laboratories, a laboratory overseen by the U.S. Department of Energy, have found that there is a positive link between the use by consumers of sunscreens and the rise in melanoma. The studies found that because sunscreens in fact do not block all of the sun's harmful rays as their labeling and advertising suggests, consumers are being given a false sense of security about the benefits of using sunscreens, and are staying out in the sun longer based on that false assumption.

25. In a 1998 study published in the Journal of the National Cancer Institute, scientists found that the presence of moles predictive of melanoma was actually higher in children who used sunscreen, as opposed to those who did not use sunscreen or who wore protective clothing in the sun. The researchers concluded that the data from the study “strengthen the hypothesis that the use of sunscreens increases the risk of melanoma.” Skin aging and cancer are delayed effects that don't usually show up for many years after the exposure. Since the damage is not immediately visible, young people are often unaware of the dangers of tanning. Physicians and scientists are especially concerned that cases of skin cancer will continue to increase as people who are now in their teens and twenties reach middle age.

26. In 2000, the United Nations, through its International Agency for Research on Cancer, found that “[s]everal relevant epidemiological studies have shown significantly higher risks for melanoma in users of sunscreens than in non-users” and that the “protective effects of sunscreens can be outweighed by overexposure based on the false assumption that sunscreens completely abolish the adverse effects of UV-light.”

27. Defendants knew or should have known about these scientific studies, which have been conducted and published over the course of the last decade in leading medical journals by noted scientists at institutions such as the United Nations International Cancer Research Agency, the Brookhaven National Laboratory, and the Memorial Sloan-Kettering Cancer Center.

28. Additionally, many of Defendants' Coppertone sunscreen products contain as an active ingredient Avobenzone which is used for protecting the skin against UVA rays. Avobenzone, however, has been found to quickly degrade after being exposed to sunlight. One study has found that within fifteen minutes of exposure to sunlight, 36% of the exposed Avobenzone disintegrates. Thus, the protective effects of Avobenzone against UVA rays

immediately diminish upon the exposure of human skin to sunlight.

## II. Regulatory Background

29. In 1993, the FDA issued *Sunscreen Drug Products for Over-the-Counter Human Use; Tentative Final Monograph*, 58 Fed. Reg. 28194 (May 12, 1993) (“TFM”). The TFM prohibits manufacturers from making labeling claims concerning UVA protection unless such claims, *inter alia*, were available in labeling prior to the beginning of the OTC drug review, *i.e.*, the labeling must not be “false or misleading in any particular.”

30. In the TFM, the FDA recognized the importance of accurate UVA labeling on sunscreen products, stating that “the agency believes that claims related to UVA protection are important information for consumers because UVA radiation has been shown to be harmful to the skin in that it contributes to both acute and chronic skin damage.” TFM, 58 Fed. Reg. 28194, 28209.

31. In 1999, the FDA issued *Sunscreen Drug Products for Over-the-Counter Human Use; Final Monograph*, 64 Fed. Reg. 27666 (May 21, 1999) (“FM”), which addressed various aspects of labeling of sunscreen products.

32. In the TFM and FM, the FDA made the following findings of fact with respect to UVA labeling claims:

(1) The term “sunblock” cannot be used on product labels. “The agency has decided not to include the term “sunblock” in the final monograph and now considers this term nonmonograph. The agency is concerned that the term “sunblock” on the label of sunscreen drug products will be viewed as an absolute term which may mislead or confuse consumers into thinking that the product blocks all light from the sun.” FM, 64 Fed. Reg. 27666 at 27680; and

(2) The term “waterproof” is not permitted in product labeling as it may be confusing or

misleading to consumers. “The agency is concerned . . . that the term “waterproof”. . . may be confusing or misleading to consumers because of the manner in which consumers may consider this term. The term “waterproof” is defined as “impenetrable to or unaffected by water.” . . . . The agency believes that the term “waterproof” could be interpreted by consumers to describe something that is completely resistant to water regardless of time of immersion, a meaning which is not consistent with the meaning of the term in the Panel’s recommended monograph. Therefore, the agency is not proposing the labeling claim “waterproof,” but is proposing instead the term ‘very water resistant.’” TFM, 58 Fed. Reg. 28194 at 28228; FM, 64 Fed. Reg. 27666 at 27675-76.

33. In 2001, the FDA stayed the effective date of the FM “until further notice.” Pending the effective date of the FM, the FM provides that UVA labeling claims shall be governed by the TFM. FM, 64 Fed. Reg. 27666, 27666-67.

34. In 2005, Senator Christopher Dodd (D-Conn.) criticized the stay of the FM, citing his concern for the health and safety of consumers and the importance of providing consumers with “clear, accurate, and comprehensive sunscreen labeling as their first line of defense against skin cancer.” *See* 151 Cong. Rec. S10,330 at S10,330-31 (daily ed. Sept. 22, 2005) (statement of Sen. Dodd).

35. In 2005, Congress noted that the FDA had not issued any regulations after the stay of the FM was put into effect, “although skin cancer rates continue to rise, especially among young persons and women.” *See* Conference Report on S.830, FDAMA, 143 Cong. Rec. H10452 (Nov. 9, 1997); H.R. Rep. No. 109-255, 109th Cong., 1st Sess., Tit. VI at 102 (2005).

36. In 2011, the FDA issued a final rule, *Labeling and Effectiveness Testing; Sunscreen Drug Products for Over-the-Counter Human Use; Final Rule*, 76 Fed. Reg. 35620

(June 17, 2011), stating, *inter alia*, that “we are identifying the terms “sunblock” “waterproof,” and “sweatproof” as false and misleading, as we have stated in previous sunscreen rulemakings:

- Sunblock (64 FR 27666 at 27679 and 27680)
- Sweatproof (58 FR 28194 at 28227 through 28228)
- Waterproof (58 FR 28194 at 28227 through 28228)

We have previously identified these claims as ones that would render a product misbranded but are addressing them again in this document because OTC sunscreen products currently marketed without approved applications continue to contain the claims.”

37. In addition, in its 2011 final rule, the FDA specifically prohibited the use of the claim “all day protection” on sunscreen labeling “regardless of SPF value or broad spectrum protection, without an approved application containing sufficient substantiation to support the claim.”

### **III. Defendants’ Labeling and Advertising Practices**

38. Defendants have spent millions of dollars marketing their sunscreen products in order to cultivate an image that their products protect the skin against all the sun’s harmful rays and remain effective regardless of the amount of the products’ exposure to or immersion in water or sweat. Defendants, being fully aware of what their customers expect from their products, have concealed the fact that their sunscreen products do not provide complete protection against UVA and/or UVB rays as claimed.

39. Defendants have deceptively labeled, advertised, marketed, and otherwise represented that many of their sunscreen products are “sunblock” lotions when, as Defendants knew or should have known, such products did not block all or anywhere close to all of the sun’s harmful rays, particularly UVA rays.

40. Defendants have also deceptively labeled, advertised, marketed and otherwise represented that many of their sunscreen products provide “waterproof” and/or “sweatproof” protection against all of the sun’s harmful ultraviolet rays when, as Defendants knew or should have known, that the protection provided by Defendants’ sunscreen products diminished, over time, after exposure to or immersion in water or sweat and was, thus, not truly water or sweat “proof” and that the protection provided was not against all the sun’s rays.

41. Defendants have also deceptively labeled, advertised, marketed and otherwise represented that their sunscreen products provide “all day protection” against UVA and UVB rays when, as Defendants knew or should have known, such protection diminished over time and after exposure to or immersion in water or sweat and thus did not truly constitute “all day protection.”

42. For example, through the use of materially misleading labeling and advertising, Defendants represented, directly and by implication, that their “Coppertone Sport” product protected the skin against all the sun’s harmful UVA and UVB rays. The “Coppertone Sport” label has provided, in pertinent part:

Coppertone Sport is equipment for your skin. It provides **all day protection** from the sun. This high performance, **ultra sweat proof sunblock** bonds to your skin on contact. It won’t run into your eyes and cause stinging. Its dry lotion formula doesn’t leave a greasy residue which could adversely affect your grip. Plus the hypoallergenic formula gives you **waterproof protection from the sun’s harmful UVA and UVB rays**. PABA-free.

\* \* \*

**SPF 30 All Day Protection. ULTRA SWEAT PROOF. WATERPROOF. DRY LOTION. UVA/UVB SUNBLOCK.**

(Emphases added.)

43. Defendants misled Plaintiffs and members of the Class by, among other things, representing that certain Coppertone sunscreen products contained the necessary active

ingredients to provide the skin with all day sunblock protection against harmful UVA rays. In fact, “Coppertone Sport” used by Plaintiffs and members of the Class at best only protected the skin against UVA rays that caused sunburn. Additionally, as alleged above the product is not truly water or sweat “proof” as labeled and advertised.

44. Defendants have engaged in this misconduct despite a Federal Trade Commission Consent Order issued in 1997 concerning their marketing of their “Coppertone Kids” products and other children’s sunscreen products. Under the Consent Order, Defendants’ predecessor agreed to refrain from “representing in any manner, directly or by implication . . . the length of time that a single application of the product will provide protection from the sun . . . or the efficacy of such product in providing protection against any harmful effect of sun exposure or ultraviolet radiation.” *See FTC/Schering-Plough Agreement Containing Consent Order to Cease and Desist*, File No. 942-3341, available at [www.ftc.gov](http://www.ftc.gov); Schering-Plough Consent Order, 62 Fed. Reg. 36514 (July 8, 1997).

45. Were it not for the false and misleading claims set forth above, Plaintiffs and members of the Class would not have purchased Coppertone sunscreen products and/or would not have paid the premium prices charged for Coppertone sunscreen products, prices which were based in part on the purported sunscreen benefits claimed as described above. Accordingly, Plaintiffs and members of the Class suffered an economic loss when they purchased Coppertone sunscreen products.

### **CLASS ALLEGATIONS**

46. Plaintiffs bring this suit as a class action on behalf of themselves and on behalf of others similarly situated pursuant to Fed. R. Civ. P. 23(a), 23(b)(2), and/or 23(b)(3). The proposed Class consists of:

All natural persons who purchased Coppertone sunscreen products in the United States of America including any territories and/or possessions which were labeled and/or advertised to provide protection against the sun's UVA and/or UVB rays.

47. The members of the Class are so numerous that joinder is impracticable. At a minimum, thousands of persons purchased Coppertone sunscreen products during the Class Period.

48. Plaintiffs' claims are typical of the claims of the entire Class as they purchased Coppertone sunscreen products based on false and misleading claims in the labeling and advertising of these products made by Defendants during the Class Period and sustained damages as a result of Defendants' conduct.

49. Plaintiffs will fairly and adequately represent and protect the interests of the other Class members for purposes of Federal Rule of Civil Procedure 23(a)(4). Plaintiffs have no interests antagonistic to those of other Class members. Plaintiffs are committed to the vigorous prosecution of this action and have retained counsel experienced in litigation of this nature to represent them.

50. Common questions of law and fact exist as to all members of the Class and predominate over any questions affecting only individual members of the Class, including, but not limited to whether:

a) Defendants misled the Class in regard to the degree and duration of protection that their Coppertone sunscreen products provided against harmful UVA and/or UVB rays;

b) Defendants misled the Class by disseminating standardized advertisements including product labels that falsely described the protection to be received from the sun's ultraviolet rays;

c) Defendants failed to disclose to the Class the fact that their Coppertone sunscreen products do not provide protection against certain harmful UVA rays and to the extent they provide UVA protection such protection is significantly less than the protection provided against UVB rays as specified in the SPF designation;

d) Defendants by the misconduct alleged herein, have engaged in unfair and unlawful business practices with respect to the advertising, marketing and sale of Coppertone sunscreen products;

e) Defendants by the misconduct alleged herein, have engaged in unfair, deceptive, untrue or misleading advertising of the Coppertone sunscreen products;

f) Defendants' advertisements, labels and other similar uniform representations regarding their Coppertone sunscreen products constituted affirmations of fact and/or promises that these products protected class members from UVA rays and that such protection was the same level or degree of protection provided by these products against the burning effects of UVB rays;

g) Defendants' Coppertone sunscreen products in fact did not provide protection against the longer UVA rays and did not protect class members against UVA rays to the same degree or for the same duration as they did against UVB rays;

h) Defendants' advertisements, labels and other similar uniform representations regarding the protection provided by their Coppertone sunscreen products against UVA and/or UVB rays form the basis of the bargain as between Defendants and members of the Class;

i) Defendants' advertisements, labels, and other similar uniform representations regarding the protection provided by their Coppertone sunscreen products

against UVA and/or UVB rays constituted express warranties;

j) Defendants' Coppertone sunscreen products failed to conform to Defendants' product specifications which were published and advertised to the Class;

k) Defendants' Coppertone sunscreen products are not fit or suitable for the purposes intended;

l) Defendants have acted and are about to act on grounds generally applicable to the Class thereby making it appropriate to render final injunctive or corresponding declaratory relief with respect to the Class;

m) as a result of Defendants' misconduct, Plaintiffs and the Class are entitled to damages, restitution, equitable and other relief;

n) Defendants' acts and practices in connection with the promotion and sale of Coppertone sunscreen products violated the New Jersey Consumer Fraud Act;

o) Defendants' acts and practices constitute a breach of express warranty under N.J.S.A. 12A:2-313 *et seq.*;

p) Defendants' acts and practices constitute a breach of implied warranty of merchantability under N.J.S.A. 12A:2-314 *et seq.*; and

q) Defendants' acts and practices constitute a breach of implied warranty of fitness for a particular purpose under N.J.S.A. 12A:2-315 *et seq.*

51. A class action is superior to other available methods for the fair and efficient adjudication of this controversy. Since the damages suffered by individual Class members may be relatively small, the expense and burden of individual litigation make it virtually impossible for the Class members to seek redress for the wrongful conduct alleged. Plaintiffs know of no difficulty that will be encountered in the management of this litigation which would preclude its

maintenance as a class action.

52. Class certification is also appropriate under Federal Rule of Civil Procedure 23(b)(2) because Defendants have acted on grounds that apply generally to the Class, so that final injunctive relief or corresponding declaratory relief is appropriate respecting the Class as a whole.

53. Class members have suffered and will suffer irreparable harm and damages as a result of Defendants' wrongful conduct.

### **FIRST CAUSE OF ACTION**

#### **Violation of New Jersey Consumer Fraud Act**

**(N.J.S.A. 56:8-1 *et seq.*)**

54. Plaintiffs re-allege and incorporate by reference paragraphs 1-53 as if each were set forth fully and completely herein.

55. The New Jersey Consumer Fraud Act applies to Defendants' wrongful conduct as described herein because two of the Defendants, including Merck, the corporate parent, maintain their corporate headquarters and principal place of businesses within the state of New Jersey and one of the Defendants is incorporated in New Jersey. In addition, a substantial part of the events giving rise to the allegations in this Complaint, as described herein, occurred in the state of New Jersey.

56. Defendants, as corporations, companies or sellers, are each a "person" within the meaning of the New Jersey Consumer Fraud Act, and as such are prohibited from engaging in any unconscionable commercial practice, deception, fraud, false pretense, false promise, or misrepresentation of fact.

57. Defendants' conduct in connection with the promotion, advertising, marketing,

and sale of Coppertone sunscreen products as described above constitutes an unconscionable commercial practice and a deception, fraud, false promise and misrepresentation of material facts.

58. Defendants' conduct in making false and misleading claims with respect to and on certain Coppertone sunscreen products is misleading and stands outside the norm of reasonable business practices.

59. Plaintiffs and the members of the Class purchased the Coppertone sunscreen products after viewing misleading statements and representations in the labeling and advertising of these products which led them to believe that they provided complete protection against the sun's UVA and/or UVB rays. Plaintiffs and members of the Class purchased Coppertone sunscreen products and paid a premium price for the products because they believed that these products provided the purported sunscreen benefits claimed. Accordingly, Plaintiffs and the Class suffered an ascertainable loss as a result of having bought the Coppertone sunscreen products that were the subject of the Misleading Claims during the Class Period.

## **SECOND CAUSE OF ACTION**

### **Unjust Enrichment and Common Law Restitution**

60. Plaintiffs re-allege and incorporate by reference paragraphs 1-53 of this Complaint as if each were set forth fully and completely herein.

61. As a result of Defendants' wrongful and deceptive conduct, Plaintiffs and members of the Class have suffered a detriment while Defendants have received a benefit.

62. In purchasing Coppertone sunscreen products Plaintiffs conferred a benefit upon Defendants by paying a premium price for the purchase of each product. Plaintiffs paid for these products with the expectation that they would receive a product that provided the benefits

claimed in the labeling and advertising of these products.

63. In accepting the price paid by Plaintiffs for the Coppertone sunscreen products, while failing to provide the sun protection benefits claimed, Defendants retained a price benefit to which they were not entitled.

64. Defendants should not be allowed to retain the enormous profits generated and profits realized from the sale of Coppertone sunscreen products that were unlawfully marketed, labeled, and promoted.

65. Allowing Defendants to retain these unjust profits would offend traditional notions of justice and fair play and induce companies to misrepresent key characteristics of their products in order to increase sales.

66. Thus, Defendants are in possession of funds which were wrongfully obtained and retained from consumers and which should be disgorged as illegally gotten gains.

### **THIRD CAUSE OF ACTION**

#### **Breach of Express Warranty**

**(N.J.S.A. 12A:2-313, *et seq.*)**

67. Plaintiff re-alleges and incorporates by reference paragraphs 1-59 of this Complaint as if each were set forth fully and completely herein.

68. Plaintiff and each member of the Class entered into a contract with Defendants when each purchased Coppertone sunscreen products that were the subject of false and misleading claims. The terms of the contract included the representations, affirmations and descriptions made by Defendants on the advertising, labeling and packaging materials of the Coppertone sunscreen products purchased, namely, the false and misleading claims.

69. Defendants expressly warranted to Plaintiffs and each member of the Class that

the Coppertone sunscreen products purchased provided the benefits claimed in the labeling and advertising of these products.

70. Defendants breached their express warranties to Plaintiffs and each member of the Class because the products purchased do not provide the sun protection benefits claimed in the labeling and advertising of these products.

71. The express warranties made by Defendants were a part of the basis for Plaintiffs' and the Class members' purchase and use of such Coppertone sunscreen products.

72. As a direct and proximate result of the aforementioned breach of express warranty, Plaintiffs and members of the Class suffered economic loss.

#### **FOURTH CAUSE OF ACTION**

##### **Breach of Implied Warranty of Merchantability**

**(N.J.S.A. 12A:2-314 *et seq.*)**

73. Plaintiff re-alleges and incorporates by reference paragraphs 1-59 and 67-72 of this Complaint as if each were set forth fully and completely herein.

74. Defendants impliedly warranted that Coppertone sunscreen products provided the benefits as claimed in the labeling and advertising of these products, are fit for the ordinary purposes for which such sunscreen products are intended, and conform to the promises and affirmations of fact made on the product labeling.

75. Defendants breached their implied warranty to Plaintiffs and each member of the Class because these Coppertone sunscreen products do not provide the sun protection benefits as impliedly represented in the labeling and advertising of these products, are not fit for the ordinary purpose for which these Coppertone sunscreen products are intended, and do not conform to the promises and affirmations of fact made in the labeling and advertising of

these products.

76. As a direct and proximate result of the aforementioned breach of implied Warranty of merchantability, Plaintiffs and members of the Class suffered economic loss.

**FIFTH CAUSE OF ACTION**

**Breach of Implied Warranty of Fitness for a Particular Purpose**

**(N.J.S.A. 12A:2-315 *et seq.*)**

77. Plaintiff re-alleges and incorporates by reference paragraphs 1-59 and 67-76 of this Complaint as if each were set forth fully and completely herein.

78. Defendants impliedly warranted that Coppertone sunscreen products provide the benefits in the labeling and advertising of these products, and are fit for the particular purpose for which these products are required.

79. When Defendants contracted with Plaintiffs and members of the Class to purchase the Coppertone sunscreen products, Defendants had reason to know that Plaintiffs and members of the Class purchased and used these Coppertone sunscreen products to obtain the benefits claimed.

80. In addition, when Defendants contracted with Plaintiffs and members of the Class to purchase Coppertone sunscreen products, Defendants had reason to know that Plaintiffs and members of the Class were relying on Defendants' skill or judgment to provide the benefits claimed.

81. Defendants breached their implied warranty of fitness for a particular purpose when they marketed and sold these Coppertone sunscreen products to Plaintiffs and members of the Class which did not have the sun protection benefits as represented in the labeling and advertising of these products.

82. As a direct and proximate result of the aforementioned breach of implied warranty of fitness for a particular purpose, Plaintiffs and members of the Class suffered economic loss.

**PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs, on behalf of themselves and all others similarly situated, pray for judgment against Defendants as follows:

(a) determining that this action is properly brought as a class action and certifying Plaintiffs as representatives of the Class and their counsel, Abraham, Fruchter & Twersky, LLP as Class counsel;

(b) awarding Plaintiffs and the proposed Class members their damages, trebled;

(c) awarding permanent injunctive relief restraining Defendants from continuing the unlawful practices set forth herein;

(d) awarding restitution to Plaintiffs and the proposed Class members;

(e) awarding attorneys' fees and costs; and

(f) granting such other and further relief as the Court may deem just and proper.

**JURY DEMAND**

Plaintiffs demand a trial by jury for all claims so triable.

DATED: July 31, 2012

*s/ Gary S. Graifman*  
Gary S. Graifman, Esq.  
**KANTROWITZ, GOLDHAMER  
& GRAIFMAN, P.C.**  
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*Attorneys for Plaintiffs*

JS 44 (Rev. 09/11)

### CIVIL COVER SHEET

The JS 44 civil coversheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

|  |  |
|--|--|
| <p><b>I. (a) PLAINTIFFS</b><br/>STEVEN BRODY, CHAIM HIRSCHFELD, ET AL.</p> <p><b>(b)</b> County of Residence of First Listed Plaintiff <u>Nassau County, N.Y.</u><br/><i>(EXCEPT IN U.S. PLAINTIFF CASES)</i></p> <p><b>(c)</b> Attorneys <i>(Firm Name, Address, Telephone Number, and Email Address)</i><br/>GARY S. GRAIFMAN ESQ., Kantrowitz Goldhamer &amp; Graifman, P.C.,<br/>747 Chestnut Ridge Rd., Suite 200, Chestnut Ridge, N.Y. 10977; (845)<br/>356-2570; ggraifman@kgqlaw.com</p> | <p><b>DEFENDANTS</b><br/>MERK &amp; CO., f/k/a SCHERING-PLOUGH CORPORATION, ET AL</p> <p>County of Residence of First Listed Defendant <u>Hunterdon County, N.J.</u><br/><i>(IN U.S. PLAINTIFF CASES ONLY)</i></p> <p>NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.</p> <p>Attorneys <i>(If Known)</i></p> |
|--|--|

|   |  |                            |   |                            |                                       |            |            |                       |                            |                            |   |                            |                                       |                          |                                       |                            |   |                            |                            |   |                            |                            |                |                            |                            |
|---|--|----------------------------|---|----------------------------|---------------------------------------|------------|------------|-----------------------|----------------------------|----------------------------|---|----------------------------|---------------------------------------|--------------------------|---------------------------------------|----------------------------|---|----------------------------|----------------------------|---|----------------------------|----------------------------|----------------|----------------------------|----------------------------|
| <p><b>II. BASIS OF JURISDICTION</b> <i>(Place an "X" in One Box Only)</i></p> <p><input type="checkbox"/> 1 U.S. Government Plaintiff</p> <p><input type="checkbox"/> 2 U.S. Government Defendant</p> <p><input type="checkbox"/> 3 Federal Question <i>(U.S. Government Not a Party)</i></p> <p><input checked="" type="checkbox"/> 4 Diversity <i>(Indicate Citizenship of Parties in Item III)</i></p> | <p><b>III. CITIZENSHIP OF PRINCIPAL PARTIES</b> <i>(Place an "X" in One Box for Plaintiff and One Box for Defendant)</i></p> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:33%;"></td> <td style="width:10%; text-align: center;"><b>PTF</b></td> <td style="width:10%; text-align: center;"><b>DEF</b></td> <td style="width:33%;"></td> <td style="width:10%; text-align: center;"><b>PTF</b></td> <td style="width:10%; text-align: center;"><b>DEF</b></td> </tr> <tr> <td>Citizen of This State</td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td>Incorporated or Principal Place of Business In This State</td> <td style="text-align: center;"><input type="checkbox"/> 4</td> <td style="text-align: center;"><input checked="" type="checkbox"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td style="text-align: center;"><input checked="" type="checkbox"/> 2</td> <td style="text-align: center;"><input type="checkbox"/> 2</td> <td>Incorporated and Principal Place of Business In Another State</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td>Foreign Nation</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> </tr> </table> |                            | <b>PTF</b>  | <b>DEF</b>                 |                                       | <b>PTF</b> | <b>DEF</b> | Citizen of This State | <input type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input checked="" type="checkbox"/> 4 | Citizen of Another State | <input checked="" type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5 | Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |
|   | <b>PTF</b>   | <b>DEF</b>                 |   | <b>PTF</b>                 | <b>DEF</b>                            |            |            |                       |                            |                            |   |                            |                                       |                          |                                       |                            |   |                            |                            |   |                            |                            |                |                            |                            |
| Citizen of This State   | <input type="checkbox"/> 1   | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State     | <input type="checkbox"/> 4 | <input checked="" type="checkbox"/> 4 |            |            |                       |                            |                            |   |                            |                                       |                          |                                       |                            |   |                            |                            |   |                            |                            |                |                            |                            |
| Citizen of Another State  | <input checked="" type="checkbox"/> 2  | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input type="checkbox"/> 5            |            |            |                       |                            |                            |   |                            |                                       |                          |                                       |                            |   |                            |                            |   |                            |                            |                |                            |                            |
| Citizen or Subject of a Foreign Country   | <input type="checkbox"/> 3   | <input type="checkbox"/> 3 | Foreign Nation  | <input type="checkbox"/> 6 | <input type="checkbox"/> 6            |            |            |                       |                            |                            |   |                            |                                       |                          |                                       |                            |   |                            |                            |   |                            |                            |                |                            |                            |

**IV. NATURE OF SUIT** *(Place an "X" in One Box Only)*

|   |  |   |   |  |   |
|---|--|---|---|--|---|
| <p><b>CONTRACTS</b></p> <p><input type="checkbox"/> 110 Insurance</p> <p><input type="checkbox"/> 120 Marine</p> <p><input type="checkbox"/> 130 Miller Act</p> <p><input type="checkbox"/> 140 Negotiable Instrument</p> <p><input type="checkbox"/> 150 Recovery of Overpayment &amp; Enforcement of Judgment</p> <p><input type="checkbox"/> 151 Medicare Act</p> <p><input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excl. Veterans)</p> <p><input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits</p> <p><input type="checkbox"/> 160 Stockholders' Suits</p> <p><input type="checkbox"/> 190 Other Contract</p> <p><input type="checkbox"/> 195 Contract Product Liability</p> <p><input type="checkbox"/> 196 Franchise</p> | <p><b>PERSONAL INJURY</b></p> <p><input type="checkbox"/> 310 Airplane</p> <p><input type="checkbox"/> 315 Airplane Product Liability</p> <p><input type="checkbox"/> 320 Assault, Libel &amp; Slander</p> <p><input type="checkbox"/> 330 Federal Employers' Liability</p> <p><input type="checkbox"/> 340 Marine</p> <p><input type="checkbox"/> 345 Marine Product Liability</p> <p><input type="checkbox"/> 350 Motor Vehicle</p> <p><input type="checkbox"/> 355 Motor Vehicle Product Liability</p> <p><input type="checkbox"/> 360 Other Personal Injury</p> <p><input type="checkbox"/> 362 Personal Injury - Med. Malpractice</p> | <p><b>PERSONAL INJURY</b></p> <p><input type="checkbox"/> 365 Personal Injury - Product Liability</p> <p><input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability</p> <p><input type="checkbox"/> 368 Asbestos Personal Injury Product Liability</p> <p><b>PERSONAL PROPERTY</b></p> <p><input type="checkbox"/> 370 Other Fraud</p> <p><input type="checkbox"/> 371 Truth in Lending</p> <p><input type="checkbox"/> 380 Other Personal Property Damage</p> <p><input type="checkbox"/> 385 Property Damage Product Liability</p> | <p><b>REAL ESTATE</b></p> <p><input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881</p> <p><input type="checkbox"/> 690 Other</p>   | <p><b>BANKRUPTCY</b></p> <p><input type="checkbox"/> 422 Appeal 28 USC 158</p> <p><input type="checkbox"/> 423 Withdrawal 28 USC 157</p> <p><b>PROPERTY RIGHTS</b></p> <p><input type="checkbox"/> 820 Copyrights</p> <p><input type="checkbox"/> 830 Patent</p> <p><input type="checkbox"/> 840 Trademark</p> | <p><b>OTHER STATUTES</b></p> <p><input type="checkbox"/> 375 False Claims Act</p> <p><input type="checkbox"/> 400 State Reapportionment</p> <p><input type="checkbox"/> 410 Antitrust</p> <p><input type="checkbox"/> 430 Banks and Banking</p> <p><input type="checkbox"/> 450 Commerce</p> <p><input type="checkbox"/> 460 Deportation</p> <p><input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations</p> <p><input type="checkbox"/> 480 Consumer Credit</p> <p><input type="checkbox"/> 490 Cable/Sat TV</p> <p><input type="checkbox"/> 850 Securities/Commodities/Exchange</p> <p><input checked="" type="checkbox"/> 890 Other Statutory Actions</p> <p><input type="checkbox"/> 891 Agricultural Acts</p> <p><input type="checkbox"/> 893 Environmental Matters</p> <p><input type="checkbox"/> 895 Freedom of Information Act</p> <p><input type="checkbox"/> 896 Arbitration</p> <p><input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision</p> <p><input type="checkbox"/> 950 Constitutionality of State Statutes</p> |
| <p><b>REAL PROPERTY</b></p> <p><input type="checkbox"/> 210 Land Condemnation</p> <p><input type="checkbox"/> 220 Foreclosure</p> <p><input type="checkbox"/> 230 Rent Lease &amp; Ejectment</p> <p><input type="checkbox"/> 240 Torts to Land</p> <p><input type="checkbox"/> 245 Tort Product Liability</p> <p><input type="checkbox"/> 290 All Other Real Property</p>   | <p><b>CIVIL RIGHTS</b></p> <p><input type="checkbox"/> 440 Other Civil Rights</p> <p><input type="checkbox"/> 441 Voting</p> <p><input type="checkbox"/> 442 Employment</p> <p><input type="checkbox"/> 443 Housing/Accommodations</p> <p><input type="checkbox"/> 445 Amer. w/Disabilities - Employment</p> <p><input type="checkbox"/> 446 Amer. w/Disabilities - Other</p> <p><input type="checkbox"/> 448 Education</p>  | <p><b>PRISONER PETITIONS</b></p> <p><input type="checkbox"/> 510 Motions to Vacate Sentence</p> <p><b>Habeas Corpus:</b></p> <p><input type="checkbox"/> 530 General</p> <p><input type="checkbox"/> 535 Death Penalty</p> <p><input type="checkbox"/> 540 Mandamus &amp; Other</p> <p><input type="checkbox"/> 550 Civil Rights</p> <p><input type="checkbox"/> 555 Prison Condition</p> <p><input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement</p>  | <p><b>LABOR</b></p> <p><input type="checkbox"/> 710 Fair Labor Standards Act</p> <p><input type="checkbox"/> 720 Labor/Mgmt. Relations</p> <p><input type="checkbox"/> 740 Railway Labor Act</p> <p><input type="checkbox"/> 751 Family and Medical Leave Act</p> <p><input type="checkbox"/> 790 Other Labor Litigation</p> <p><input type="checkbox"/> 791 Empl. Ret. Inc. Security Act</p> | <p><b>SOCIAL SECURITY</b></p> <p><input type="checkbox"/> 861 HIA (1395ff)</p> <p><input type="checkbox"/> 862 Black Lung (923)</p> <p><input type="checkbox"/> 863 DIWC/DIWW (405(g))</p> <p><input type="checkbox"/> 864 SSID Title XVI</p> <p><input type="checkbox"/> 865 RSI (405(g))</p>                 | <p><b>FEDERAL TAX SUITS</b></p> <p><input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant)</p> <p><input type="checkbox"/> 871 IRS—Third Party 26 USC 7609</p>   |

**V. ORIGIN** *(Place an "X" in One Box Only)*

1 Original Proceeding     2 Removed from State Court     3 Remanded from Appellate Court     4 Reinstated or Reopened     5 Transferred from another district *(specify)*     6 Multidistrict Litigation

**VI. CAUSE OF ACTION**

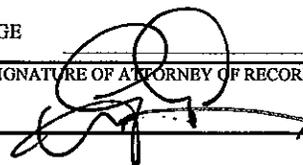
Cite the U.S. Civil Statute under which you are filing *(Do not cite jurisdictional statutes unless diversity):*  
Fed. R. Civ. P. 23(3(a), 23(b)(2) and 23(b)(3) and Class Action Fairness Act (CAFA)

Brief description of cause:  
Violation of N.J. Consumer Fraud Act, N.J.S.A. 56:8-1; Violation of N.J.S.A. 2-313, 314 315

**VII. REQUESTED IN COMPLAINT:**

CHECK IF THIS IS A CLASS ACTION UNDER F.R.C.P. 23    DEMAND \$ \_\_\_\_\_    CHECK YES only if demanded in complaint:  
JURY DEMAND:  Yes     No

**VIII. RELATED CASE(S) IF ANY** *(See Instructions):*    JUDGE \_\_\_\_\_    DOCKET NUMBER \_\_\_\_\_

DATE: 07/31/2012    SIGNATURE OF ATTORNEY OF RECORD:  Gary S. Graifman

FOR OFFICE USE ONLY

RECEIPT # \_\_\_\_\_ AMOUNT \_\_\_\_\_ APPLYING IFP \_\_\_\_\_ JUDGE \_\_\_\_\_ MAG. JUDGE \_\_\_\_\_

JS 44 Reverse (Rev. 09/11)

**INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44****Authority For Civil Cover Sheet**

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

**I. (a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.

(b) **County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)

(c) **Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

**II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

**III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.

**IV. Nature of Suit.** Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.

**V. Origin.** Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

**VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553  
Brief Description: Unauthorized reception of cable service

**VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

**VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

**Date and Attorney Signature.** Date and sign the civil cover sheet.